

Page 1/3

## iThenticate Similarity Report README

Each manuscript newly submitted to the Copernicus Office Editor undergoes a file validation before the topical editor assignment process begins.

In addition to a technical check of the submitted files (abstract, manuscript, supplementary material (optional), as well as author's response file (optional)), the manuscript PDF file will be checked against the iThenticate.com scientific publication database. iThenticate extracts the actual text of the manuscript and compares it to three different sources:

- CrossCheck: Organized by CrossRef, the CrossCheck database contains about 30 million documents from scientific journals, conference proceedings and books. All main publishers in STM (science, technology, and medicine) submit their published articles to CrossRef and register their DOIs through this agency.
- Internet: iThenticate indexes billions of web pages and stores content of the last eight years. Everyday they index several million web documents.
- **Publications**: Third-party periodicals in addition to those from CrossCheck are indexed containing EBSCO and other databases.

iThenticate provides Copernicus Publications with a Similarity Report for each submitted manuscript providing (a) the percentage of similarity resulting from counted words, and (b) the mark-up of the various sections, expressions, or terms that were found in other documents.

Copernicus Publications checks the Similarity Report and, if applicable, uploads the report into the Copernicus Office Editor to be shown to the handling topical editor of the respective manuscript.

## Case 1: No/insignificant similarities

The MS Records show the event iThenticate.com Similarity Report completed (DATE) similarities negligible / not found:

**Initial Submission** Editor Call First Choice (20 Jul 2011) iThenticate.com Similarity Report completed (20 Jul 2011) similarities negligible / not found Uploaded Files validated (20 Jul 2011) by Anna Mirena Feist-Polner File Upload (20 Jul 2011) • Abstract • Manuscript • Supplement

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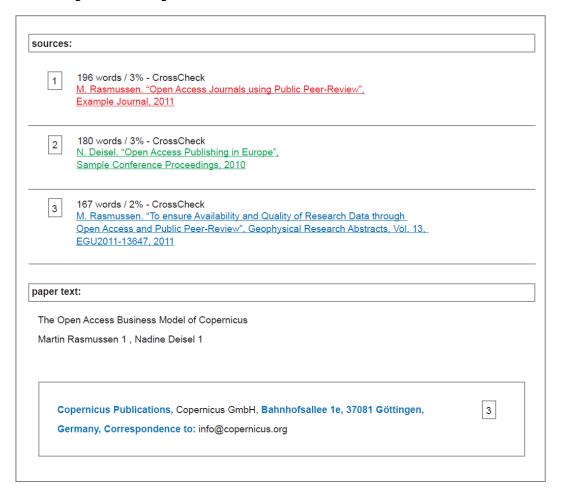
Page 2/3

## **Case 2: Significant similarities**

The MS Records show the event iThenticate.com Similarity Report completed  $(DATE) \rightarrow Report$ :



When downloading the report, the handling topical editor will receive a PDF file containing the following information:



The Similarity Report first lists the major sources of similarity. Each source is marked by a number as well as by a specific colour. The list provides the author

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Dr. Xenia van Edig (Business Development)



Page 3/3

and title of the source, links to the source (original document/journal article), and provides information about the number of similar words and the percentage of the overall number of words in the article that was checked.

The example above shows relatively few similarities of 2-3% and marks the relevant sections and words. Thereby, the discrepancy lies in (a) the distribution of similarities and/or (b) the content.

- (a) The percentage of similarity is based on the number of matching words. These may be distributed over several pages and sections, which is not critical, or may result from only a few paragraphs with high similarity, which is rather critical as it could imply abuse of intellectual property.
- (b) Similarity may result from common terms and expressions that are not critical but may add up to a number of words high enough to provide a significant percentage of similarity. In the example above, similarities were marked blue as source 3 in the author's affiliation, which is also not critical.

The decision of whether a manuscript should be rejected because of fraud or should proceed to the peer-review process rests with the handling topical editor. The Similarity Report is also made available to referees.

Dr. Xenia van Edig (Business Development)

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